



# UNIVERSITÀ DEGLI STUDI DI PALERMO

|                                |                                                                           |                      |                  |
|--------------------------------|---------------------------------------------------------------------------|----------------------|------------------|
| <b>DEPARTMENT</b>              | Scienze Economiche, Aziendali e Statistiche                               |                      |                  |
| <b>ACADEMIC YEAR</b>           | 2020/2021                                                                 |                      |                  |
| <b>MASTER'S DEGREE (MSC)</b>   | BUSINESS ECONOMIC SCIENCES                                                |                      |                  |
| <b>INTEGRATED COURSE</b>       | FINANCIAL INSTITUTIONS AND CRISIS LAW - INTEGRATED COURSE                 |                      |                  |
| <b>CODE</b>                    | 19582                                                                     |                      |                  |
| <b>MODULES</b>                 | Yes                                                                       |                      |                  |
| <b>NUMBER OF MODULES</b>       | 2                                                                         |                      |                  |
| <b>SCIENTIFIC SECTOR(S)</b>    | IUS/04                                                                    |                      |                  |
| <b>HEAD PROFESSOR(S)</b>       | BAVETTA CARLO                                                             | Professore Associato | Univ. di PALERMO |
| <b>OTHER PROFESSOR(S)</b>      | BAVETTA CARLO                                                             | Professore Associato | Univ. di PALERMO |
| <b>CREDITS</b>                 | 9                                                                         |                      |                  |
| <b>PROPAEDEUTICAL SUBJECTS</b> |                                                                           |                      |                  |
| <b>MUTUALIZATION</b>           |                                                                           |                      |                  |
| <b>YEAR</b>                    | 1                                                                         |                      |                  |
| <b>TERM (SEMESTER)</b>         | 2° semester                                                               |                      |                  |
| <b>ATTENDANCE</b>              | Not mandatory                                                             |                      |                  |
| <b>EVALUATION</b>              | Out of 30                                                                 |                      |                  |
| <b>TEACHER OFFICE HOURS</b>    | <b>BAVETTA CARLO</b><br>Monday 10:00 11:00 dipartimento SEAS, piano terra |                      |                  |

**DOCENTE:** Prof. CARLO BAVETTA

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| <b>PREREQUISITES</b>      | knowledge of civil and commercial law                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>LEARNING OUTCOMES</b>  | <p>Knowledge and skills' acquisition of understanding of the tools for knowledge of financial markets and bankruptcy law. Ability 'to use the legal language right in these disciplines. Capacity 'to apply knowledge and understanding capacity' to recognize and understand the legal institutions for the application case solution Making judgments Being able to assess the implications and results of legal studies in the various institutions that studies Enable 'communicative capacity' to present the results of the studies, even a non-expert public. Capacity 'learning capacity' upgrade with the consultation of scientific publications. Capacity 'to follow, using the knowledge acquired in both degree courses, both in-depth courses both seminars in the field of commercial law</p> <p>Description of evaluation methods:</p> <ul style="list-style-type: none"> <li>-excellent (30 and 30 and praise): excellent knowledge of the topics, excellent ownership of language, good analytical ability.</li> <li>-very good (26 29): good mastery of the arguments, full ownership of Language.</li> <li>-good (23-25): basic knowledge of the main topics, discreet property language, with limited ability to apply knowledge on its own solution to the proposed problems.</li> <li>-satisfactory (20 22): does not have full mastery of the main topics teaching but has the knowledge, satisfying property Language.</li> <li>-sufficient (18 19): minimal basic knowledge of the main topics teaching</li> <li>insufficient: does not have an acceptable knowledge of the content of topics covered in teaching.</li> </ul> |
| <b>ASSESSMENT METHODS</b> | oral examination or written test on cases of commercial law and bankruptcy law                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>TEACHING METHODS</b>   | lessons                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

## MODULE INSURANCE COMPANIES AND BANKS

*Prof. CARLO BAVETTA*

### SUGGESTED BIBLIOGRAPHY

Annunziata, La disciplina del mercato mobiliare, Giappichelli, 2017  
 Costi, Il mercato finanziario, Il Mulino, ult. ed.  
 Mosco, Le società quotate - casebook, Cacucci Editore, 2018  
 Giorgianni – Tardivo, Manuale di diritto bancario, Giuffrè, ult. ed.  
 Donati – Volpe Putzolu, Manuale di diritto delle assicurazioni, Giuffrè, ult. ed.

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|-------------------------------|-----------------|
| <b>AMBIT</b>                  | 50584-Giuridico |
| <b>INDIVIDUAL STUDY (Hrs)</b> | 57              |
| <b>COURSE ACTIVITY (Hrs)</b>  | 18              |

### EDUCATIONAL OBJECTIVES OF THE MODULE

The course has as main objective the presentation of the institutes of the financial market law, banking and insurance, with particular reference to the discipline of intermediaries, instruments, trading on the stock exchange and listed companies. In particular, the course analyzes the regulation of financial intermediaries and financial instruments that emit, their negotiation even in stock markets, the rules of conduct of the operators, ultimately, the responsibility to protect the market and savings rules. In addition, the course examines the discipline of the corporate governance of listed company, the concept of governance, the protection of minorities, the system of controls. Finally, it analyzes the regulation of banks, banking and insurance companies, the new insolvency system 2. There are, in closing, the Special Framework references to the crisis of the financial intermediaries, banks and insurance. Ultimately, in addition to presenting tools, the course also aims to outline critically discipline of financial market law, banking and insurance, in order to equip students with the necessary interpretative categories.

## SYLLABUS

| Hrs | Frontal teaching                                                                                                                                                                                                                                   |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 6   | Concept of financial market and related classifications Concept of financial intermediaries The operating rules of financial intermediaries: contracts and responsibilities                                                                        |
| 6   | Financial instruments: concept and classifications The joint stock companies listed on the stock exchange: type and discipline The markers characters of the discipline dictated by the CFA for joint stock companies listed on the stock exchange |
| 6   | public companies and their regulation by law and regulation and soft law                                                                                                                                                                           |

## MODULE BUSINESS CRISIS AND INSOLVENCY LAW

*Prof. CARLO BAVETTA*

### SUGGESTED BIBLIOGRAPHY

Calvosa e Altri, Diritto fallimentare, manuale breve, Giuffrè editore, ultima edizione

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|-------------------------------|-----------------------------------------------|
| <b>AMBIT</b>                  | 21021-Attività formative affini o integrative |
| <b>INDIVIDUAL STUDY (Hrs)</b> | 114                                           |
| <b>COURSE ACTIVITY (Hrs)</b>  | 36                                            |

### EDUCATIONAL OBJECTIVES OF THE MODULE

Knowledge and skills' acquisition of understanding of the tools for knowledge of financial markets and bankruptcy law. Ability 'to use the legal language right in these disciplines. Capacity 'to apply knowledge and understanding capacity' to recognize and understand the legal institutions for the application case solution Making judgments Being able to assess the implications and results of legal studies in the various institutions that studies Enable 'communicative capacity' to present the results of the studies, even a non-expert public. Capacity 'learning capacity' upgrade with the consultation of scientific publications. Capacity 'to follow, using the knowledge acquired in both degree courses, both in-depth courses both seminars in the field of commercial law

## SYLLABUS

| Hrs | Frontal teaching                          |
|-----|-------------------------------------------|
| 6   | business crisis and alerts procedures     |
| 20  | Regulation of business crisis procedures  |
| 10  | procedure of extraordinary administration |